

**North Yorkshire County Council****Audit Committee****17 July 2014****Annual Report on Partnership Governance 2013/14****1.0 Purpose of report**

- 1.1 To provide an annual report on the governance of partnerships involving the County Council for the financial year 2013/14.

**2.0 Background**

- 2.1 Both the Executive and the Audit Committee have previously agreed to receive an annual report on the governance of partnerships involving the County Council. This report is also being presented to the Executive on 8 July 2014.
- 2.2 Prior to a commitment being made to any partnership arrangement, approval is required in line with the County Council's Constitution, Financial Procedure Rules and Partnership Working Guidance.
- 2.3 The annual report provides a mechanism to ensure that partnerships and the resulting commitments are reviewed regularly and that the County Council is only involved with those partnerships which added value to the work of the Council.
- 2.4 Partnerships are within the scope of the annual report if they are characterised by one or more of the following conditions:
- strategic, in the sense that they will have a significant impact on the direction of services provided, at the level of County Council, Directorate or Community Plan themes and priorities;
  - involve Members on the governing board;
  - involve a financial input from the County Council of £50k pa or more;
  - involve the County Council as accountable body for external grant funding to the partnership; or
  - have a risk assessment arising from the partnership governance work of high or medium.
- 2.5 Appendix 1 is a schedule of partnerships that were within the scope of this report as at 31 March 2014. The number and nature of partnerships changes from time to time and, as with all annual reports, the data within the appendix is a snapshot in time.
- 2.6 The County Council nominates Members to a wide range of outside organisations, some of which are partnerships and included in Appendix 1. However, the majority of outside organisations listed in the Constitution are not

partnerships and the arrangements for reporting, where required, are as set out in the Constitution.

- 2.7 This report also does not cover arrangements which are monitored in other ways, for example where the County Council is the sole or a significant shareholder in a limited company or part of a joint committee arrangement.

### **3.0 Principles for partnership working and key changes during 2013/14**

- 3.1 The key principles for partnership working locally were agreed in 2010 by Local Government North Yorkshire and York (LGNYY):

- the minimum number and simplest of partnership structures, consistent with delivering the required outcomes and statutory requirements;
- a North Yorkshire and York approach to county / sub-regional partnership structures as far as possible, recognising that a degree of pragmatism will be required given the different local authority structures in North Yorkshire and York;
- local partnerships, including shared community engagement arrangements, at the most appropriate local level; and
- the use of task and finish groups to deal with particular issues, rather than standing thematic partnerships or sub-groups.

- 3.2 LGNYY also agreed that rationalising partnership structures is not about stopping partners working together - partnership working should be encouraged, but partnership structures should only exist where they add value and are efficient.

- 3.3 Three partnerships have been included in the appendix this year for the first time:

- Harrogate Public Services Leadership Board – a new partnership replacing the Harrogate District Partnership Forum;
- Rail North Leaders Board / Leader Forum – a new strategic partnership for the development of rail Strategy and priorities for rail in the north of England; and
- Health and Wellbeing Board (HWB) and health partnerships including the Integrated Commissioning board – whilst the HWB is a formal committee of the County Council it is important to capture this and associated partnerships as they will have a significant impact on the direction of services provided and the communities of North Yorkshire.

- 3.4 Five partnerships, which were included in the appendix last year, have been removed this year:

- York and North Yorkshire Safer Communities Forum – has ceased to exist as the initial stage of a much bigger restructure of community safety partnerships;
- Harrogate District Partnership Forum – has ceased to exist and replaced by a more focused Harrogate Public Services Leadership Board;

- Leeds City Region Transport Panel – no activity during 2013/14 and will be replaced by the West Yorkshire Combined Authority, which will not include NYCC
  - Adult Integrated Care Workforce Board – has ceased to exist and its functions have been integrated into the work of the (adult social care) Market Development Board as the membership and priorities are shared; and
  - Older Peoples Partnership Board – has ceased to exist and the North Yorkshire Forum of Older People will now be used as the formal mechanism to seek older people’s collective voice.
- 3.5 One partnership is identified this year as having a high overall risk rating:
- 95 Alive Road Safety Partnership – the Performance Reward Grant (PRG), which comprises the vast majority of the funding available for 95 Alive road safety activity, ended on 31 March 2014. This represents a significant risk to 95 Alive as there is currently no other approved revenue stream available beyond existing individual partner budgets. Without an identified alternative funding mechanism therefore, it is likely that activity will have to contract. In order to mitigate the impact over the short-term, officers have requested the remaining PRG be re-profiled into 2014/15. This request has since been approved by the York and North Yorkshire Chief Executives’ Group, which means the Partnership has £47k available for service delivery in this financial year. In addition the Deputy Chief Constable in conjunction with the Police and Crime Commissioner has confirmed the availability of a further £100k as a one-off allocation; the majority of which, however, is likely to be spent on a single procurement exercise. In order to place the Partnership on a more sustainable financial footing, discussions are on-going with North Yorkshire Police over the potential for surplus revenues from safety cameras/driver awareness courses to fund partnership road safety activity over the longer term.
- 3.6 All Directorates are continuing to review the number of partnership arrangements that officers are actively involved in, to determine their legal requirements, strategic importance and the impact if the partnership were to be dissolved.
- 3.7 In addition, as requested by the Executive when considering previous annual reports; individual Executive Members, in conjunction with officers of each Directorate, have given consideration to the governance and monitoring arrangements of partnerships relating to that Directorate.

#### **4.0 Arrangements in place to monitor partnerships**

- 4.1 Appendix 1 includes, for each partnership, a summary of key achievements in 2013/14, priorities for 2014/15, arrangements for partnership governance and reporting, and a risk assessment. The appendix identifies a lead directorate for each partnership who, where appropriate, ensures the engagement of relevant services across the council.

- 4.2 As highlighted in previous annual reports, the wide range of partnerships, and their differing roles, means a 'one size fits all' approach to reporting is neither practical nor appropriate. In this context, reporting arrangements cover:
- key issues, including service issues,
  - any specific issues relating to the management of the partnerships, and
  - routine reporting on financial or other performance, highlighting variances to budgets or performance plans.
- 4.3 All reporting arrangements need to be appropriate and commensurate to the role of the partnership, and what it seeks to achieve. The term 'partnership' is used to cover a wide range of different approaches. Some (for example the Children's Trust), are a coming together of partners with separate budgets to jointly plan and align their own organisations activity. Others (for example Supporting People) are a delivery mechanism for joint budgets and joint decisions, where the County Council is the accountable body.
- 4.4 Data from partnership working is included in a range of more general updates, including those submitted to the Executive as part of the Quarterly Performance Monitoring reports. There are some examples of formalised reporting of partnership matters, in the specific context of the partnership, back to the County Council at Executive, Executive Member or Area Committee level. However, more often the data from partnerships is not readily separated from the more general level of reporting, and in many cases to do so would result in duplication.
- 4.5 It is necessary to ensure that the arrangements reflect appropriately the significance of the issues arising in the partnership within the overall framework of the monitoring arrangements involving Members. There is a need to avoid the risk of providing an unnecessarily detailed analysis for relatively small partnership working areas.
- 4.6 This annual report allows the Executive and the Audit Committee to consider whether more (or less) information should be submitted in separate monitoring reports and to whom.
- 4.7 The governance arrangements of all partnerships with a high and medium risk rating have been reviewed by officers from Legal and Democratic Services to ensure that robust arrangements are in place to protect the interests of the partnership and, in particular, of the County Council. The review considered all written governance documents of the partnership to check that they are fit for purpose. No concerns over governance arrangements have been identified. It is not proposed that low risk partnerships will be reviewed unless there are any exceptional reasons for doing so. If any concerns are identified, Legal and Democratic Services will liaise with the lead officer to offer advice and support and ensure that appropriate corrective action is taken to rectify such concerns. It is anticipated that that similar reviews will be undertaken on an annual basis.

- 4.8 Last year's annual report summarised an internal audit undertaken by Veratiu in early 2013 to provide assurance that there are sound governance arrangements in place for partnerships.
- 4.9 The overall audit opinion was that the controls in place provide Substantial Assurance, that is:
- there is good management of risk with few weaknesses identified; and
  - an effective control environment is in operation but there is scope for further improvement in identified areas.
- 4.10 All the elements of the action plan agreed following the internal audit have been completed satisfactorily, that is:
- all partnerships have been assessed by July 2013 to identify whether the partnership is used as a mechanism to share personal data between partners and, if applicable, whether a data sharing policy exists. Where a partnership is used as a mechanism by to share personal data between partners and a data sharing policy does not exist, a data sharing policy has been developed and proposed for adoption by the partnership by March 2014; and
  - for all partnerships a proportionate specific risk assessment has been undertaken and recorded by September 2013 as part of the NYCC general risk assessment to determine the specific risks that should be monitored and mitigated against for the partnership.

## 5.0 Recommendations

- 5.1 It is recommended that the Audit Committee:
- (a) Receives this annual report on partnership governance;
  - (b) Notes the arrangements in place to ensure good governance and reporting of partnership activity; and
  - (c) Notes the contents of the schedule of partnerships that were within the scope of this report as at 31 March 2014 (Appendix 1).

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Chief Executive

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Corporate Director - Strategic Resources

18 June 2014

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**Appendices:**

Appendix 1 - Partnerships that were within the scope of this report as at 31 March 2014



LGNYY Housing Board	BES / HAS	2, 3	Identifying and responding to key housing issues; agreeing and managing the delivery of strategic housing investment priorities; undertaking sub regional research; and encouraging both innovative and consistent sub regional working across North Yorkshire.	2011 agreed to retain, but streamline.	Wider strategic integration with growth agenda through contribution to LEP Strategy and Growth Plan. Delivery of Rural Housing Enablers affordable housing programme ( 117 completions Q1 and Q2; 77 works to existing properties over the same period). Successful recruitment of NY Housing Strategy Manager with post part-funded by YNYER LEP. Homelessness reduced (27% fewer homeless households).	To be agreed Spring 2014	N	One Cllr from local authority and reps of key partners. Written terms of reference	<a href="http://www.northyorkshirestrategichousingpartnership.co.uk/index.php/governance">www.northyorkshirestrategichousingpartnership.co.uk/index.php/governance</a>	Circa £160k pa (partnership costs plus rural housing enablers programme). Partnership posts funded by LA and YNYER LEP (£8K contributions); RHE programme funded by LAs / Registered Provider / Leeds City Region / NYCC contributions (£50K previously paid up-front as a contribution to the three year RHE programme).	Hambleton District Council, including employer of partnership staff.	Contribute £3.75k towards Housing Strategy Manager post.	No routine report to NYCC elected member body but regular report to LGNYY, with any issues arising feeding in to County Council processes in the usual way.	Cllr Gareth Dadd - member	N	Carl Bunnage (BES) / Avril Hunter (HAS)	Trevor Cliverd	L	M	L	M	L	L	n/a	1. Failure of the Board to maintain engagement in wider economic growth agendas would hamper its success in supporting the delivery of housing across NY. 2. Failure of NYCC to continue to contribute towards costs of NY Strategic Housing Manager post could result in an element of risk to its continuation which is essential to work of Board.	N	n/a
York, North Yorkshire & East Riding Local Enterprise Partnership	BES	2	The primary role of the LEP is provide strategic leadership to maximise the economic growth and job creation across York, North Yorkshire & East Riding. It is a public private partnership and its remit covers Business Growth, Skills and Infrastructure.	This is a new partnership and as such has not yet been subject to review although a Partnership Assessment was completed in March 2013	1500 SMEs Supported. Successful Growing Places Investments: £2.5m - Whitby Business Park. £0.5m - NYMR. £0.9m - Potter Group further Growing places loans approved. £4m RGF Business Grants Secured £2m Skills Funding Secured Strategic Economic Plan Developed	1. Develop the delivery plan and investments for the EU110m EU Funding Secured 2. Complete negotiations with government for Local Growth Funding securing maximum investment 3. Support 2000 businesses 4. Deliver the £2m skills funding	N	Main LEP Board - Business led partnership (9 business reps, 6 local authority reps). Written governance document. Skills & Infrastructure Programme Boards below LEP Board.	<a href="http://www.businessinspiregrowth.com/about-the-lep/">www.businessinspiregrowth.com/about-the-lep/</a>	NYCC budget of £311k for secretariat. Access to grants including Growing Places Fund (£9.4m) (to be used as a revolving fund), BIS funding (£250k) but requires match funding), Strategic growth fund £250k, RGF£4m	NYCC	The Council's Economic & Partnership Unit has a NYCC budget of £480k and staff in the unit provide support to the LEP. CE311k of the £480k budget is engaged on LEP support.	No routine report to NYCC elected member body, although any issues requiring decisions or reports will be fed in through normal processes.	Cllr John Weighell - member	N	James Farrar	Lisa Gallon	L	H	H	L	H	M	29 June 2012 - Written constitution in place. No concerns but need for further review as function of the LEP has potential for change and may need revised governance.	The Key risk is to the reputation of NYCC with the business community and government grant funding departments. Failure to attract grant income or failure to spend the grant in accordance with the conditions and achieve economic growth.	N	n/a
Leeds City Region Local Enterprise Partnership	BES	2	To direct LCR policy in relation to economic development, transport, skills and infrastructure. On-going engagement continues, with the NYCC Leader maintaining a position on the LCR LEP Board. Primarily focused on transport and infrastructure. Potential risk through City Deal and plans for pooling of monies.	None undertaken	None for NYCC. LCR LEP secured Enterprise Zone and City Deal status. City Deal recently awarded £500k with regard to skills.	Mainly transport and infrastructure (key issues being size and status of Transport Fund dependent upon Government's position regarding proposed Transport Levy. On-going issue regarding pooling of funding for the Infrastructure Fund. Strategic Appraisal Framework to determine project priorities not yet developed. Role of West Yorkshire Combined Authority unclear.	N	Business led partnership (business reps and local authority reps, including NYCC rep). Written governance document.	<a href="http://www.leedsacityregion.gov.uk/about-lep/">www.leedsacityregion.gov.uk/about-lep/</a>	Circa £36m Growing Places Fund. Circa £1.4b City Deal (tbc). Circa £500m Infrastructure Fund (tbc).	Leeds City Council	Officer time only	No routine report to NYCC elected member body, although any issues requiring decisions or reports will be fed in through normal processes.	Cllr John Weighell - member	N	James Farrar / Carl Bunnage	Lisa Gallon	M	M	L	L	M	M	2 May 2014 - The risk to NYCC is relatively low as we are not accountable body for the LEP and essentially devote the time of Members and Officers to the partnership working. Appropriate governance is in place. It is sensible to monitor the impact of the West Yorkshire Combined Authority and what influence that may have over the decision making and work of the LEP	Key risk is reputational, in particular linked to a grant to support apprenticeships and the need to achieve targets. Need to ensure the needs of NY businesses are considered in LCR developments	N	n/a
Leeds City Region Leaders Board	BES	2	To direct LCR policy and lead decision making in relation to housing, transport, and planning matters.	Unknown.	Continued support to LCR LEP Board.	Strategic review of existing housing, transport and planning strategies, and leadership in relation to objectives, priorities and actions flowing from them.	N	Local Authority Leaders. Written governance document.	<a href="http://www.leedsacityregion.gov.uk/about-leaders/">http://www.leedsacityregion.gov.uk/about-leaders/</a>	Circa £1.6m expenditure. Primarily funded by LA subscriptions and Government grant.	Leeds City Council	£39k overall contribution to LCR (2013/14) c£37m 2014/15	No routine report to NYCC elected member body but regular report to LGNYY, with any issues arising feeding in to County Council processes in the usual way.	Cllr John Weighell - member	N	David Bowe / Carl Bunnage	Trevor Cliverd	L	L	L	L	M	L	n/a	Key risk is partners withdraw and cease to work together.	N	n/a
Leeds City Region Housing and Regeneration Board (formerly Leeds City Region Homes & Communities Agency Joint Board)	BES	2	To lead and direct funding for housing and regeneration priorities across the city region.	Unknown.	On-going work in relation to delivery of LCR /HCA Board Implementation Plan and in connection with preparation of LCR LEP Strategy and Growth Plan. Limited impact upon NY.	On-going work in relation to delivery of LCR /HCA Board Implementation Plan and in connection with preparation of LCR LEP Strategy and Growth Plan. Likely limited impact upon NY.	N	Officers of local authorities and the Homes & Communities Agency. Written governance document.	<a href="http://www.leedsacityregion.gov.uk/about/">www.leedsacityregion.gov.uk/about/</a>	No budget.	Leeds City Council	Officer time only	No routine report to NYCC elected member body but regular report to LGNYY, with any issues arising feeding in to County Council processes in the usual way.	None	N	Carl Bunnage	Graham veson	L	L	L	L	L	L	n/a	Key risk is partners withdraw and cease to work together.	N	n/a
<b>Local strategic and community safety partnerships</b>																											
Craven Community Safety Partnership	CS	1, 3	Bringing agencies and communities together to tackle crime and anti-social behaviour.	2011 - formal streamlined structures. Proposal to combine the six CSPs to create one CSP for NY being developed and considered.	MAPS (multi-agency problem solving) meetings with collaborative interventions to address ASB. Group also supports developing stronger families agenda, and bronze level prevent. Using local intelligence to keep abreast of activity involving cross border criminals. Activities undertaken to monitor known prolific offenders in the district and associates. Educational events for motorcyclists and young people aimed at drink driving dangers, winter driving, mobile phone use dangers whilst driving.	Protecting vulnerable communities, addressing community concerns; domestic abuse; road safety; reducing re-offending.	N	Senior reps (members and officers) of key local community safety partners. Written governance document.		£10k from Craven District Council towards partnership support. £23k from Police and Crime Commissioner.	Craven District Council	Officer time only	Craven Area Committee - annually. By agreement, scrutiny of CSP matters is carried out through district council scrutiny arrangements	Cllr Shelagh Marshall - member (Cllr Shelagh Marshall is also Chair, elected by the Partnership)	N	Neil Irving	n/a	L	L	Nil	L	M	L	n/a	Future funding.	Y	Overarching framework to share community safety information is in place across partner agencies.
Hambleton and Richmondshire Community Safety Partnership	CS	1, 3	Bringing agencies and communities together to tackle crime and anti-social behaviour.	2011 - formal merger agreed by Home Secretary. Proposal to combine the six CSPs to create one CSP for NY being developed and considered.	Supported and delivered a wide variety of projects including domestic abuse champions, border watch, mediation services, speed matrix, ASB diversions projects, alcohol awareness, sheducate and target hardening for vulnerable victims.	Anti-social behaviour and quality of life, crime and offender management, domestic abuse, road safety, Prevent.	N	Senior reps (members and officers) of key local community safety partners. Written governance document.		Hambleton and Richmondshire District Council covers incidental costs and partnership support. £23k from Police and Crime Commissioner.	Hambleton District Council	Officer time only	County Committee for Hambleton and Richmondshire Area Committee - normally twice a year. By agreement, scrutiny of CSP matters is carried out through district council scrutiny arrangements	Cllr Tim Swales and Cllr Michael Heseltine - members	N	Neil Irving	n/a	L	L	Nil	L	M	L	n/a	Future funding.	Y	Overarching framework to share community safety information is in place across partner agencies.

Harrogate Public Services Leadership Board	CS	4	Supports the development of services that are efficient, innovative and reflect the specific needs and priorities of local communities; delivering better outcomes and improving the lives of local people.	N/A - first meeting held 27 Jan 2014. Terms of reference and governance arrangements will be reviewed at least every 2 years.	N/A - first meeting held 27 Jan 2014.	Will focus on bringing policy and delivery coherence, integration and better co-ordination within the district across a wide range of issues.	N	Senior reps (officers) of key local public sector partners. Written terms of reference.		Harrogate Borough Council covers incidental costs and partnership support.	Harrogate Borough Council	Officer time only	No formal reporting requirement to Harrogate Area Committee - issues taken will be by exception only.	n/a	N	Neil Irving	n/a	L	L	NIL	L	M	L	n/a	Key risk is partners withdraw and cease to work together.	N	n/a
Harrogate and District Safer Communities Partnership	CS	1, 3	Bringing agencies and communities together to tackle crime and anti-social behaviour.	Proposal to combine the six CSPs to create one CSP for NY being developed and considered.	Continues to see a marked reduction in crime across all categories, except for cycle theft, which has seen a slight increase during this period.	Will continue to focus on crimes that affect communities with some specific campaigns in the run up to the Tour De France around cycle safety and theft awareness.	N	Senior reps (members and officers) of key local community safety partners. Written governance document		Harrogate Borough Council covers incidental costs and partnership support. £60k from Police and Crime Commissioner.	Harrogate Borough Council	Officer time only	No regular reporting requirement to Harrogate Area Committee. Reports will be taken where specific issues are to be raised or a decision is needed. By agreement, scrutiny of CSP matters is carried out through district council scrutiny arrangements	Cllr Cliff Trotter - member	N	Neil Irving	n/a	L	L	Nil	L	M	L	n/a	Future funding.	Y	Overarching framework to share community safety information is in place across partner agencies.
Ryedale Strategic Partnership (includes responsibility for safer Ryedale)	CS	1, 3, 4	Set the overall strategic vision for the district, co-ordinate the actions of the public, private, voluntary and community sectors to deliver the vision and priorities. Bringing agencies and communities together to tackle crime and anti social behaviour.	2010 - amalgamated with Safer Ryedale Board, so community safety partnership and local strategic partnership. Proposal to combine the six CSPs to create one CSP for NY being developed and considered.	Progressing the development of rural broadband infrastructure. Reduction in crime and disorder	Crime in the community, safer roads, alcohol harm reduction and domestic violence.	N	Senior reps (members and officers) of key local partners. Written governance document.		Ryedale District Council covers incidental costs and partnership support. £20k from Police and Crime Commissioner.	Ryedale District Council	Officer time only	Ryedale Area Committee - normally twice a year. By agreement, scrutiny of CSP matters is carried out through district council scrutiny arrangements	Cllr Clare Wood and Cllr Val Arnold - members (Cllr Clare Wood is also Chair, elected by the Partnership)	N	Neil Irving	n/a	L	L	Nil	L	M	L	n/a	Key risk is partners withdraw and cease to work together. For community safety activity - future funding.	Y	Overarching framework to share community safety information is in place across partner agencies.
North Yorkshire Coast Community Partnership	CS	4	Set the overall strategic vision for the district, co-ordinate the actions of the public, private, voluntary and community sectors to deliver the vision and priorities. Also	July 2012 - Constitution reviewed and amended.	Locality working in Castle/Northbay ward, Barrowcliff, Eastfield and Eastside of Whitby. Multi-agency Night Time Economy Plan for the Borough and Safer Communities Partnership Plan. Financial Inclusion Forum, including new forum for Whitby and the Northern Area (inc foodbank). Successful application for Local Alcohol Action Area Status (LAAA). Brief interventions service for young people based at Scarborough Hospital. (12 month pilot). Urban Forum activities.	Locality working in areas of disadvantage (Castle/North bay, Barrowcliff, Eastfield and East Whitby). Substance Misuse Harm Reduction (this will include work through the LAAA and the development of an action plan for Legal Highs). Financial Inclusion. Tackling digital exclusion and new ways of working across the public sector.	N	Senior reps (members and officers) of key local partners. Written governance document.		Partnership activity is supported and managed by the Borough Council. Contributions of £400 in total are received from other partners (YCH and NYMNP). Expenditure of approximately £1000 for items such as room hire and refreshments. Budgets for specific projects and initiatives are agreed through the partnership e.g. the Castle/North Bay multi-agency team.	Scarborough Borough Council	Officer time only	Yorkshire Coast and Moors County Area Committee - normally twice a year	Cllr Janet Jefferson - member. No NYCC officer attendance normally at meetings - agreed from Feb 2014.	N	Neil Irving	n/a	L	L	Nil	L	M	L	n/a	Key risk is partners withdraw and cease to work together.	N	n/a
Local Public Service Executive (Scarborough district) (includes responsibility for community safety issues)	CS	1, 3	To bring together the key public sector decision makers to pool resources, redesign services and reduce the costs of service delivery and improve outcomes for local people.	Covers community safety since abolition of CSP in 2012. Membership and terms of reference reviewed in 2012. Proposal to combine the six CSPs to create one CSP for NY being developed and considered.	Developing new models of joint working; developing and implementing joint prevention and enforcement team; contributing to master planning. Initiatives to tackle / prevent alcohol related crime.	Tackling crime and anti-social behaviour; implementing joint prevention and enforcement team; locality joint working in Castle/North Bay, Local Alcohol Action Area; night time economy.	N	Senior reps (officers) of key local public sector partners. Written terms of reference.		Scarborough Borough Council covers incidental costs and partnership support. £64k from Police and Crime Commissioner.	Scarborough Borough Council	Officer time only	Yorkshire Coast and Moors County Area Committee in respect of community safety issues - normally twice a year. By agreement, scrutiny of CSP matters is carried out through district council scrutiny arrangements	None	N	Neil Irving	n/a	L	L	Nil	L	M	L	n/a	Key risk is partners withdraw and cease to work together. For community safety activity - future funding.	N	n/a
Selby Local Strategic Partnership	CS	4	Set the overall strategic vision for the district, co-ordinate the actions of the public, private, voluntary and community sectors to deliver the vision and priorities	2010 - streamlined structures	Sustainable Community Strategy in place to 2015. LSP sharing information virtually rather than via meetings. LSP members involved in Selby partnership to look at Selby Town Centre and in Selby Local Enterprise Dinner. LEP heavily involved.	It is expected that a new LSP (probably with different name) will emerge out of the Breakfast Summit held on 7 February at Drax. These summit style meetings likely to be every 6 months.	N	Senior reps (members and officers) of key local partners. Written governance document.		Selby District Council covers incidental costs and partnership support.	Selby District Council	Officer time only	No regular reporting requirement to Selby Area Committee. Reports will be taken where specific issues are to be raised or a decision is needed.	Cllr Chris Metcalfe - member	N	Neil Irving	n/a	L	L	Nil	L	M	L	n/a	Key risk is partners withdraw and cease to work together.	N	n/a
Selby District Community Safety Partnership	CS	1, 3	Bringing agencies and communities together to tackle crime and anti-social behaviour	Proposal to combine the six CSPs to create one CSP for NY being developed and considered.	Car crime down; increased membership of SARC - Selby Against Retail Crime; increased hate crime reporting; Selby Equalities Network established; Prevent hindsight event held with partners to raise awareness of radicalisation process; reduction in serious & minor road casualties; reduction in violent crime.	To support the NY Police Control Strategy (serious acquisitive crime, quality of life, protecting vulnerable people, organised crime groups). Anti-social behaviour. Reducing the harm caused by alcohol. Road safety.	N	Senior reps (members and officers) of key local community safety partners. Written governance document.		£34k from Police and Crime Commissioner.	Selby District Council	Officer time only	Selby Area Committee - normally once a year. By agreement, scrutiny of CSP matters is carried out through district council scrutiny arrangements	Cllr Steven Shaw-Wright - member	N	Neil Irving	n/a	L	L	Nil	L	M	L	n/a	Future funding.	Y	Overarching framework to share community safety information is in place across partner agencies.
BES																											

Local Access Forum	BES	1	Continuation of current arrangements and support. The County Council is required to set up and convene a LAF by Statute (CRoW Act 2000).	No further review required since the initial review. No further review planned.	Four meetings held during 2013/14 providing advice & guidance on emerging issues in relation to access to the countryside.	Continuation of forum/meetings to continue to pursue the principles of the Forums work. Recruitment of new members	N	LAF purpose set out in statute with agreed terms of reference.	<a href="http://www.naturalengland.org.uk/ourwork/access/laf/">www.naturalengland.org.uk/ourwork/access/laf/</a>	£0	NYCC	No budget - costs are officer time, admin support and meeting rooms	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Cllr John Fort, Cllr Robert Heseltine, Cllr David Jeffels - members	N	Aidan Rayner	Dot Barker	L	L	Nil	L	L	L	n/a	Key risk is partners withdraw and cease to work together.	N	n/a
E Crime Project	BES	2, 3	NYCC & City of York Council to deliver the national E-Crime sub projects 1 & 3 in order to undertake E-Crime enforcement and to also co-ordinate national enforcement activity.	Review undertaken during 2013. No further action required.	Implementation of the set-up phase of the National E-Crime sub projects 1 & 3. Delivery of year 2 of the national E-Crime project.	To ensure implementation and delivery of year 2 of the 3 year national E-Crime project within the submitted grant funding expenditure forecast.	N	Back to Back agreement in place between NYCC & CYC.		Projected outturn for 2013/14 for projects 1 & 3 = £588k. Funded by Central Government direct funding grant.	NYCC	Grant funded (circa £0.6m p.a. for set up with conditions). Grant is subject to audit. NB: there is NYCC contribution in terms of officer time. Projected outturn for 2013/14 for projects 1 & 3 = £588k.	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	None	N	Andy Robson	Graham Iveson	L	L	H	L	H	M	29 June 2012 - No concerns noted.	Partnership Governance audited by Veritau during 2012. No significant risks identified.	Y	Y
North Yorkshire, York and North York Moors Minerals and Waste Plan	BES	1, 2	Production of joint mineral and waste local plan (development plan document)	On-going	Production of draft minerals and waste plan issues and options consultation for sign off by partner Authorities	To progress the minerals and waste plan for the partnership area, including consultation at preferred options stage.	N	Officer steering group. NYCC informal member input via the MWDF member working group. Executive member/Executive sign-off at key project stages		tb - estimated at circa £90k.	No official Accountable Body - joint responsibility, although NYCC would typically take lead role	tb - Indicative up to £50k	Informal reporting to MWDF member working group. Executive member/Executive sign off at key project stages	Cllr Gareth Dadd (planning portfolio holder); Cllr David Jeffels (chairman of MWDF member working group)	N	Rob Smith	Graham Iveson	L	M	L	M	M	L	n/a	1) Failure of partner to agree preferred planning strategy at key project stages. 2) Failure of partner to deliver required project resources. 3) Breach of data handling protocol by partner.	N	n/a
York & North Yorkshire Waste Partnership	BES	2, 3	Delivering efficient waste management services that are in the best interests of the council tax payers of York & North Yorkshire - Annual cost (value) of waste management in the sub-region (Inc. Yorwaste) is circa £80M. Continue to ensure delivery of joint waste strategy 'Lets talk less rubbish' & its review.	Full review completed during 2011/12 & new structure put in place from April 2012; Business Plan & Budget agreed for 2012-15 with rolling refresh & reviews.	Award of green waste framework contract. Agreement to self-fund partnership manager. Review of charging for green waste at 7/9 partners. Upgrade of inter-authority data systems. Standard approach to revised Controlled Waste Regulations.	Developing model for long term self-funding of partnership manager. Recruit new partnership manager. Tour de France. Update Business Plan. Health and Safety joint working.	N	Portfolio holders for waste management at each of the partner authorities; overarching governance through LGNY; No formal governance document however, there is a Statement of Agreed Principles (SOAP).		Base budget (excluding Waste Partnership Manager post cost) is £64.5K Made up of £36K districts / CYC contributions + £28.5K NYCC	NYCC (financially only)	£28.5k. NYCC acts as banker for Partnerships funds and is the financially accountable body to the Partnership..	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	None	No	Tony Norris	Dot Barker	L	H	H	L	H	M	14 June 2012 - Ultimate governance through LGNY. Effective financial control. No pressing concerns.	Partnership has strong emphasis on joint working to deliver efficiencies. These are mainly at collection authority level. Joint framework contracts are providing opportunities for all partners. Main risk is reduced funding from partners, but proposal is to develop a mechanism so the Partnership becomes self funding from efficiencies and savings.	N	n/a
95 Alive Road Safety Partnership	BES	2, 3	"The Partnership will seek to make travelling in York and North Yorkshire safer, and act in a way that inspires the trust and confidence necessary to make people feel safer too." The role of the partnership is to develop, implement and oversee the strategies to deliver the vision.	A Governance framework was approved by the 95 Alive Steering Group in November 2011. The strategy is reviewed on an annual basis.	Implementation of Speed Management Protocol; continue with strategy for road casualty reduction; highlighting importance of road safety in emerging public health duty; further enhancing relationship between the different tiers of 95 Alive; use of Driver Training Officer (DTO) in the Driving at Work Policy and with Advanced Driving Instructors (ADI).	Key funding stream expires March 2014. Currently no replacement confirmed. Request to reprofile spend to October 2014 with Corporate Accountancy/ to provide stopgap. Pressing need to understand whether alternative funding will be available, how much and when.	N	Lead partners - NYCC, North Yorkshire Police, Fire & Rescue, City of York, Highways Agency, Public Health. Supporting partners - local community safety partnerships. Written governance document.		Each partner agency provides staff resource as its contribution for service delivery and officer working and steering Groups. Currently £100k from Performance Reward Grant funds 4 x core programmes and 1 x Project Officer. Performance reward grant to cease in 2014/15.	NYCC	NYCC fund officer time (with exception of Partnership Project Officer). The NYCC Road Safety & Travel Awareness Budget is closely allied to Partnership aims and delivery. £60k resources and £325k staffing.	Annual report to each Area Committee and to TEE Overview & Scrutiny Committee. In addition, reports to BES Executive Members on an ad hoc basis.	None	N	Allan McVeigh	Dianne Nielsen	H	M	M	M	M	H	4 October 2012 - Signed memorandum of understanding to govern partnership. No concerns.	The Performance Reward Grant ends on 31 March 2014. There is currently no other approved revenue funding available beyond existing individual partner budgets. Without a separate funding mechanism, activity may have to contract significantly. Discussions on-going over potential for surplus revenues from safety cameras/driver awareness courses, but nothing yet agreed.	Y	A data sharing policy is being developed as part of a general review of the 95 Alive Partnership MoU. The policy will be finalised in conjunction with the other partnership members.
North Yorkshire Timber Freight Quality Partnership	BES	4	Support the contribution of the forestry and timber industries to the North Yorkshire economy by ensuring that timber industries can access the timber resource whilst seeking to minimise the impact on the public road network, on local communities and on the environment.	None undertaken	Continuing dialogue between County Council and timber industry following adoption of Good Practice Guide for Timber Transport in North Yorkshire. North Yorkshire Agreed Timber Routes online map updated.	Continue to liaise between BES Highways & Transportation and timber transport industry regarding difficult timber extraction sites. On-going updates to timber routes map including timber production data. Identify opportunities for funding for timber transport and driver training.	N	Independent Chair Jeremy Walker. Attendance by Executive Member for Highways and Transportation Cllr Dadd, Senior officers from BES, timber hauliers, forest owners and agents. Written governance document.	<a href="http://www.timbertransportforum.org.uk/RegionalGroups/Default.aspx?pid=62">www.timbertransportforum.org.uk/RegionalGroups/Default.aspx?pid=62</a>	£0	NYCC	Officer time. Annual expenditure limited to hire of venue for meetings (Annual cost of hire circa £170), honorarium for Chair (approx. £400 plus any limited expenses in course of Chairmanship e.g. travel to Scotland for Timber Transport Forum Conference). Also some potential contribution circa £500 per year towards national timber routes map hosted by Timber Transport Forum.	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Cllr Gareth Dadd - member of FQP	N	Victoria Hutchinson	Dianne Nielsen	L	L	L	L	L	L	n/a	Key risk is partners withdraw and cease to work together.	N	n/a
Settle Area Freight Quality Partnership	BES	4	To provide an opportunity to develop a partnership approach to dealing with issues related to HGV traffic through the Settle Area and to utilise this partnership approach reach voluntary workable solutions through consensus and concession	None undertaken	Two meetings held during 2013/14 providing opportunity for parties to discuss issues relating to HGVs in Settle area.	Continue partnership working between local residents of Settle, BES Highways & Transportation, local businesses (including quarries) to discuss HGV traffic in the area.	N	Chair County Councillor Richard Welch. Attendance by officers from NYCC BES H&T, parish council representatives and quarry managers. Written governance document.	<a href="http://www.nypartnerships.org.uk/index.aspx?articleid=21234">www.nypartnerships.org.uk/index.aspx?articleid=21234</a>	£0	NYCC	Officer time. Annual expenditure limited to hire of Victoria Hall Settle for meetings (usually 2 meetings each year, cost of hire circa £80 per meeting).	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Cllr Richard Welch - chair of FQP	N	Victoria Hutchinson	Dianne Nielsen	L	L	L	L	L	L	n/a	Key risk is partners withdraw and cease to work together.	N	n/a

Forest of Bowland AONB JAC	BES	1	AONBs were established in accordance with the National Parks and Access to the Countryside Act of 1949 and the Countryside and Rights of Way Act 2000. The statutory purpose of AONBs is to conserve and enhance the natural beauty of their area.	09/10/2013 Joint Advisory Committee meeting. Partnership funding agreed. Management Plan actions agreed.	On-going delivery of the five-year AONB Management Plan; Inc. the Lancashire Green Tourism Project & planning advice.	On-going delivery of Management Plan with year on year 5% reduced Defra core funding. NYCC funding not being reduced.	N	Memorandum of understanding - JAC includes one NYCC elected member. There is also an Officers Steering Group.		£333k. 75% funding from Defra. Other contributions from Lancashire CC and 6 district councils, Inc. Craven DC.	Lancashire County Council	£7k	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Cllr Richard Welch - member	N	Graham Megson	Dot Barker	L	L	L	L	L	L	n/a	Risk – if further staff and budgets cuts, NYCC may withdraw contribution to partnership. Statutory duty on NYCC to produce a management plan, currently done for us by host authority. If NYCC did not contribute, greater cost incurred writing the Management Plan ourselves.	N	n/a
Nidderdale AONB JAC	BES	1	AONBs were established in accordance with the National Parks and Access to the Countryside Act of 1949 and the Countryside and Rights of Way Act 2000. The statutory purpose of AONBs is to conserve and enhance the natural beauty of their area.	19/09/2013 Joint Advisory Committee mtg. Partnership funding and annual work plan agreed.	On-going delivery of the five-year AONB Management Plan. Numerous environmental, business and access initiatives. Drafting of Management Plan for 2014-19 + public consultation.	On-going delivery of Management Plan with 5% reduced core funding	N	Memorandum of understanding - JAC including three NYCC elected Members. Also Officers Steering Group.		£477k. 75% funding from Defra. Other contributions from Harrogate BC.	Harrogate Borough Council	£19k	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Cllr Mike Harrison, Cllr John Fort and Cllr Margaret Atkinson	N	Graham Megson	Dot Barker	L	L	L	L	L	L	n/a	Risk – if further staff and budgets cuts, NYCC may withdraw contribution to partnership. Statutory duty on NYCC to produce a management plan, currently done for us by host authority. If NYCC did not contribute, greater cost incurred writing the Management Plan ourselves.	N	n/a
Howardian Hills AONB JAC	BES	1	AONBs were established in accordance with the National Parks and Access to the Countryside Act of 1949 and the Countryside and Rights of Way Act 2000. The statutory purpose of AONBs is to conserve and enhance the natural beauty of their area.	07/11/2013 Joint Advisory Committee mtg. Partnership funding agreed for 2013-14. Annual work plan agreed for 2013/14.	On-going delivery of the five-year AONB Management Plan. Delivery of school twinning project with Hull and York. Drafting of Management Plan for 2014-19 + public consultation.	On-going delivery of Management Plan with 5% reduced core funding	N	Memorandum of understanding - JAC including two NYCC elected Members. Also Officers Steering Group.		£264k. 75% funding from Defra. Other contributions from Ryedale and Hambleton DC.	NYCC	£40k	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Cllr Clare Wood and Cllr Caroline Patmore - members	N	Graham Megson	Dot Barker	L	L	L	L	M	L	n/a	Risk – if budgets cuts, NYCC may reduce contribution - might encourage Hambleton & Ryedale DC to reduce theirs and would attract less funding from Defra, so less money to deliver statutory duty of preparing a Management Plan. Staff loss would mean less delivery and loss of reputation.	N	n/a
Local Nature Partnership	BES	2, 3	To drive positive change in local natural environment, taking a strategic view of challenges and opportunities linking benefits of nature, people and the economy. LNP strategy provides context for on-the-ground activity. The intent to establish LNPs was announced in the 2011 Natural Environment White Paper.	Draft strategy written during 2013 which sets out vision and objectives. Agreed to consult on this in Jan-March 2014 with a view to formal strategy adoption in Summer 2014.	Shadow Board well established and met 4 times in 2013 to drive the LNP forward. Draft strategy written and approved in Dec 2013 for consultation in Jan-March 2014. LNP presence created on nypartnerships.org.uk website. Links made to H&W Board and LEP so that shared objectives and priorities can be taken forward.	Adoption of the LNP Strategy by Summer 2014. Issue of practical delivery when there is no central funding for LNPs - partners have to work together to target limited resources to best effect. Improving links to LEP to access some of the EU Strategic Investment Funds. Improved links to health and well being team.	N	Senior officers of key local partners. Governance document in development through 2014/15.	<a href="http://www.nypartnerships.org.uk/lnp">www.nypartnerships.org.uk/lnp</a>	No partnership spend or income in 2013-14. No identified source of income for the future.	NYCC	No direct £ contribution on an on-going basis. NYCC provide secretariat to LNP; champions one of the priority areas and involved in project delivery which may include staff and £ in future.	None	N	Kerry Green	Dot Barker	L	L	L	L	L	L	n/a	Key risk is partners withdraw and cease to work together.	N	n/a	
North Eastern Inshore Fisheries and Conservation Authority (NEIFCA)	BES	1	Marine & Coastal Access Act 2009 - duty to champion & manage a sustainable marine environment & inshore fisheries. The partnership has a membership of 30 individuals including 13 local authority Members from 11 coastal local authorities (between Tyne & NE Lincs).	At the meeting of 05/12/2013 it was agreed to fix the levy for 3 yrs.	On-going monitoring & enforcement of inshore fisheries using land based and sea based operations; 'No Take Zone' at Flamborough Head; new patrol vessel operational.	Delivery of Marine & Coastal Act 2009 especially management & protection of fisheries & marine environment.	N	Reps from the 11 coastal Local Authorities, 14 members appointed by the Marine Management Organisation and one member appointed by each of the Marine Management Organisation, the Environment Agency and Natural England.	<a href="http://www.neifca.gov.uk/about-us/our-members">www.neifca.gov.uk/about-us/our-members</a>	£875k. Other funding from Durham County Council, East Riding of Yorkshire Council, Hartlepool District Council, Hull City Council, Lincolnshire County Council, North East Lincolnshire Council, North Lincolnshire Council, Redcar and Cleveland District Council, South Tyneside Metropolitan District Council, Sunderland City Council.	East Riding of Yorkshire Council	£198k (+ £54.9k which is received as a grant from Defra).	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Members are Cllr Derek Bastiman & Cllr Tony Randerson	NYCC is tied into a three year fixed levy. NYCC proportion of total levy is 22.2%. NYCC Member representation is 2. Defra currently (2013-14) provides a grant of £54.9k but this is not guaranteed to continue year on year.	Graham Megson	Dot Barker	L	L	M	L	L	L	n/a	Statutory duty to annually fund NEIFCA and no leeway on amount – fixed by agreement until 31 March 2017. If £54.9k grant from Defra is withdrawn, NYCC would need to find this amount.	N	n/a
Welcome to Yorkshire (W2Y)	BES	2	NYCC contribute to W2Y as part of support for tourism in the region	September 2011.	Support to Tour de France, assisting businesses to maximise the opportunities around the TdF.	Developing a sustainable model post Yorkshire Forward Funding. £10m annual budget from Yorkshire Forward lost representing over 80% annual budget. Support to businesses to benefit from the Tour de France	N	Public / private partnership. Written governance document.	<a href="http://industry.yorkshire.com/documents/about/WTYManAofAssociations041209new.pdf">industry.yorkshire.com/documents/about/WTYManAofAssociations041209new.pdf</a>	Annual turnover 2011/12 was £10.6m. Main source of income was grant related funding	W2Y	£83k	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	None	Sustainability beyond 2012/13.	James Farrar	Lisa Gallon	M	L	M	L	M	M	12 April 2013 - Company governed by memorandum and articles of association. Accounts up to date. Robust governance. No concerns.	N	n/a	
North Yorkshire - Cleveland Coastal Forum	BES	3	To promote Heritage Coast for economy, tourism, natural beauty, etc.	Annual Exec meeting on 20 March 2013. 5 year coastal forum strategy to be confirmed. NYCC budget contribution to remain at £7,600	On-going delivery of the Management Plan which aims to manage the needs of the coast and manage the relationship between different and sometimes conflicting issues, including rural economy and heritage conservation.	Due to savings burden on NYCC, NYCC is considering it's funding position - grant reduction to £4k recommended for 2014-15.	N	Member representation from NYCC, North York Moors NPA, Redcar & Cleveland BC, Scarborough BC. Written terms of reference agreed in 2005 and not updated since.		£39k	North York Moors NPA	£7.6k.	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Cllr Derek Bastiman, Cllr John Blackburn and Cllr Joe Plant - Members	Due to savings burden on NYCC, NYCC is considering it's funding position	Graham Megson	Dot Barker	L	L	L	L	L	L	n/a	Risk - if core funding amount is withdrawn as a savings measure, NYCC would experience some loss of reputation.	N	n/a

North Yorkshire Flood Risk Partnership	BES	2	To coordinate and lead sub-regional activity aimed at reducing and managing flood risk	Terms of reference were extended to formalise the partnership role in collating the sub-regional capital investment priorities for submission to the Environment Agency in April 2013	Input to the DEFRA Partnership funding proposals, via the Regional Flood and Coastal Committee and a DEFRA study; Coordination of responses to DEFRA consultations; coordination and direction of technical partnership activity; Communication of key new duties under the Flood and Water Management Act	Input to the DEFRA 6 year Partnership Funding programme; Oversight for the Development Control and Sustainable Drainage; Development of Local Flood Risk Strategies in concert with EA Flood Risk Management Plans	N	Member body with reps from Yorkshire Regional Flood & Coastal Committee, NYCC, City of York Council, Yorkshire Water, Environment Agency, and Internal Drainage Board core members. Written terms of reference - no formal decision making functions.		£0	n/a	Officer time only	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Clr John Fort	N	Mark Young	Dianne Neilsen	L	M	L	L	M	L	n/a	No specific financial risks. Any financial risks associated with the partnership would be limited to the long term reputational damage suffered as a consequence of failure to attract funding.	N	n/a
Rail North Leaders Board / Leader Forum	BES	2,3	Strategic leadership for the development of Rail Strategy and priorities for Rail in the North of England	Accountable Governance Arrangements agreed February 2014	Not applicable	Adopt Long Term Rail Strategy for the North; Establish Partnership Governance Arrangements; Influence Franchise Specification; Prepare management arrangements for managing franchise in conjunction with DfT	N	Leaders from all 33 North of England LA's and the 5 northern ITA's/CA's		TBC	Accountability ultimately rests with DfT	Officer time only	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Clr John Weighell rep on Leaders Board; Clr Chris Metcalfe rep on Leader Forum	N	David Bowe / Graham North	Graham Iveson	L	L	L	L	L	L	n/a	Risks relate to failures of influence which may result in lower service levels	N	n/a
Yorkshire European Regional Development Fund Local Management Committee	BES	1, 2, 3	Strategic leadership of the 2007-13 Yorkshire and the Humber ERDF competitiveness programme.	2011 - streamlined structure	Following the closure of Yorkshire Forward the ERDF function has gone through significant changes both in terms of locating within DCLG and the role and responsibilities of the team. The primary aim has been spending available funding within the required timescale, which has been achieved.	The focus for 2014/15 remains promoting the fund to attract proposals to achieve spend targets. With government austerity measures and no Yorkshire Forward funding, identifying major projects with sufficient match is a significant issue.	N	Senior reps (members and officers) of key local partners. Written governance document.	<a href="http://www.gov.uk/erdf-regional-guidance-yorkshire-and-the-humber">www.gov.uk/erdf-regional-guidance-yorkshire-and-the-humber</a>	ERDF funding for 2012 = £58.7m and for 2013 = £59.8m	DCLG	Officer time only	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	None	Ability to attract viable proposals and to spend the ERDF monies. The risk is European Monies are returned to the EU. Reputational risk of association.	James Farrar	Lisa Gallon	M	L	L	L	L	L	n/a	Key risk is financial and may lead to grant repayment if conditions not adhered to.	N	n/a
North Yorkshire Local Transport Body	BES	2,3	To prioritise and oversee delivery of a programme of major transport schemes and to advise the Local Enterprise Partnership on transport priorities.	Assurance framework signed off by DfT. Recent audit of the LTB concluded high assurance.	Prioritised list of schemes submitted to Government for devolved major transport scheme funding. Transport priorities submitted to LEP for inclusion in the Strategic Economic Plan.	To oversee delivery of the devolved major transport scheme programme and to continue to advise the LEP on transport issues.	N	Member body which has 2 NYCC, 2 District Council & 1 LEP voting representatives. Assurance framework signed off by DfT.	<a href="http://www.northyorks.gov.uk/article/27000/Local-transport-body-LTB">www.northyorks.gov.uk/article/27000/Local-transport-body-LTB</a>	£9.6 capital allocation between 2015/16 & 2018/19	NYCC	Officer time only (capital allocation is direct grant from Department of Transport)	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	Clr Gareth Dadd & Clr Chris Metcalfe members	N	David Bowe / Tom Bryant	Trevor Cliverd	L	M	H	L	M	M	2 May 2014 - Audit complete - concluded high assurance.	Risks relate to not delivering the prioritised scheme programme. Assurance Framework approved by DfT with appropriate risk management measures.	N	n/a
<b>CYPS</b>																											
North Yorkshire Children's Trust Board	CYPS	1, 2, 3	The North Yorkshire Children's Trust has been set up under the requirements of the Children Act 2004 and represents all the agencies working with children and young people across the county. The Children's Trust provides strategic and operational direction to improve outcomes for all children, young people and families within North Yorkshire.	The Children's Trust Board has completed a partnership and governance review initiated in 2012. The revised arrangements, taking into account legislative reforms and the Health and Well-Being Board were taken to the Board in February 2013.	Delivery of the multi-agency CYPF; Delivery Performance Reward Grant projects; Effective partnership arrangements noted in external inspections. For more detailed performance reports, see Annual Review 2011-12 and individual reports on Children's Trust website.	A new Children & Young People Plan is in development, which will set out the aims and objectives of the Children's Trust over the next three years. Areas of focus will include ensuring every child in North Yorkshire receives a good or outstanding education, supporting and protecting vulnerable children and their families and promoting health and wellbeing.	No	Senior reps (mostly officers) of key local partners. Written governance document. Sub-groups changed to 'task and finish groups' operating under Children's Trust Governance. Area Liaison Groups incorporated into Children's Trust Board arrangements.	<a href="http://cyps.northyorks.gov.uk/index.aspx?articleid=16826">cyps.northyorks.gov.uk/index.aspx?articleid=16826</a>	Circa £3k (excluding officer time). Funded by CYPS. Influences all CYPS and partner spend on children's services	NYCC	The Trust has a leadership and co-ordinating role for all children and young people spending. The majority of funds are spent by individual partners and currently total over £600M per annum. The Lead Member & Lead Officer responsibility for the Trust rests statutorily with the Council but with all partners having a statutory duty to co-operate. Some resources are administered through individual partnerships (detailed elsewhere in this summary) who report to the Trust.	The Trust reports to the Executive and Full County Council via the Chair of the Trust. Full County Council sign off for the Children and Young People's Plan is required by law. Progress reports on the Trust's work (Children and Young People's Plan) to CYP Overview and Scrutiny Committee bi-annually	Lead Member (Children's Services) Clr Tony Hall - member	N	Pete Dwyer	Anton Hodge	L	H	H	H	M	M	29 June 2012 - Robust governance documents. Will need modification due to changes in public health but arrangements in hand. No concerns.	Govt. repeals statutory requirement for Children's Trust or partners choose to disengage from partnership. Governance arrangements set out exit strategy in the eventuality that partnership is dissolved.	Y	Overarching framework to share information is in place across partner agencies. Specific and discrete information sharing protocols are put in place as necessitated by specific thematic areas of work or projects, for example Developing Stronger Families Programme.
Local Children's Safeguarding Board	CYPS	1, 2	Section 14 of the Children Act 2004 sets out the objectives of LSCBs. Regulation 5 of the Local Safeguarding Children Boards Regulations 2006 sets out that the functions of the LSCB, in relation to the above objectives under section 14 of the Children Act 2004. Section 13 of the Children Act 2004 requires each local authority to establish a Local Safeguarding Children Board (LSCB) for their area and specifies the organisations and individuals (other than the local authority) that should be represented on LSCBs.	Governance arrangements are being updated as part of the NYSCB Improvement Plan	Business Plan for 2013/2016. Child Sexual Exploitation Project. Group to focus on multi-agency compliance with Working Together 2013. Development of the Learning and Improvement Framework. Major Review of Key Procedures. Self-Evaluation of Board. Section 11 Audit. Management of the Child Death. E-Safety Strategy and Mini-Conference. Multi-Agency training delivered on CSE, Early Help, Working with Teenagers. Improved governance arrangements with Children Safeguarding Strategy Groups. Significant Incident Learning Process Review. Case File Audits for CP, Pre-Birth and Strategy Meetings. Overview of Audit Activity carried out in NYSCB Partners. Development of NYSCB Dataset. NYSCB Briefings.	Identified in the NYSCB Business Plan and CSE Project. All Subgroups have identified Work Plans.	N - although Ofsted recommended areas for improvement for the LSCB in the Oct 2012 inspection.	Lead Member Children's Services, Senior Managers from CYPS and Partners. All the health trusts are now full members. 'Working Together 2013' gives a statutory membership.	<a href="http://www.safeguardingchildren.co.uk">www.safeguardingchildren.co.uk</a>	£278k. Contributions from key partners - NYCC, Health, Police, Probation, CAF/CAS, CDOP funding.	NYCC	£135.6k	Reports to Executive Members, Overview and Scrutiny Committee.	Lead Member (Children's Services) Clr Tony Hall - observer.	N	Dallas Frank	Howard Emmett	L	H	H	H	H	M	12 April 2013 - Robust governance documents. No strong concerns. Published terms of reference (2009) should ideally be updated to reflect personnel changes.	Government repeals requirement for Local Safeguarding Boards, or makes significant changes to function, or partners choose to disengage. The LSCB has undergone significant change in leadership arrangements over recent months, including the appointment of a new Independent Chair. An improvement plan has been developed and is being implemented, which includes governance arrangements of the Board. Consequently, the risk of governance failure has been reassessed as low.	Y	An overarching framework to share information is in place across partner agencies. However, a new, joint framework is in development between the NYSCB and the City of York Safeguarding Children Board and will replace existing arrangements in Q1 2014/15.

North Yorkshire Schools Forum	CYPS	1, 2, 3	The schools forum has been established under the Education Act 2002 to provide schools with greater involvement in the distribution of funding within their local authority and to act as a consultative and advisory body in relation to school funding.	Reviewed on an ongoing basis upon receipt of relevant DfE guidance. Date of last review - March 2013.	The Schools Forum is a consultative body and is not directly involved in decision-making activities. Therefore, this section is not relevant	As a consultative body, the Schools Forum's work is dictated by external workloads	N	Membership comprises representation from headteachers and governors from secondary, primary and nursery schools (including academies and PRS), staff associations (UNISON and Teacher unions), early years and childcare providers, Church of England / Roman Catholic North Yorkshire dioceses. Governance of partnership delivered via North Yorkshire County Council. Schools Forum Constitution.	<a href="http://cyps.northyorks.gov.uk/index.aspx?articleid=13901">cyps.northyorks.gov.uk/index.aspx?articleid=13901</a>	£100k	NYCC	£100k (DSG)	Reports to Executive Members, Overview and Scrutiny Committee	Lead Member (Children's Services) Cllr Tony Hall - (non voting); Cllr Arthur Barker (Schools) - (member (non-voting))	N	Jayne Laver (clerk)	Anton Hodge	L	H	M	M	L	M	12 April 2013 - No concerns. Effective constitution and clear guidance over its remit.	Govt. repeals statutory requirement for a Schools Forum. Decision making is dependent upon achieving quorum of 40% of members (as described in constitution) and securing sufficient attendance at meetings to ensure quorum is monitored and contingency measures in place.	N	n/a
Youth Justice Service (Management Board)	CYPS	1, 2, 3	To provide strategic direction and resourcing to enable the Youth Justice Service to meet its principal aim of preventing offending by children and young people. Section 38 of The Crime & Disorder Act 1998 places a duty on the Local Authority, acting in co-operation with other statutory partner agencies, to ensure the availability of appropriate youth justice services for their area.	Reviewed in 2012	The number of first time entrants into the youth justice system has continued to steadily reduced, as has the use of custody and the number of secure remand episodes (although the number of bed nights relating to secure remands has increased). The rate of re-offending however has increased (latest YJB data to March 2012), although it remains below the national average.	To maintain the low numbers of first time entrants into the youth justice system; Reduce reoffending by children and young people; and Reduce the use of secure remand and custody. Improve on levels of victim satisfaction and engagement. Uncertainty around levels of future funding from the YJB, statutory partner agencies & the Police & Crime Commissioner remains and impacts upon planning.	N	Chief Executive, Lead Member Children's Services, Senior Managers from CYPS and Partners. Written governance document.	<a href="http://www.ny-yot.org.uk">www.ny-yot.org.uk</a>	£2.92 million (including seconded staff). Expenditure is funded by statutory funding partners (NYCC, Police, Probation & Health) together with grant funding by the Youth Justice Board.	NYCC	£1.29 million.	Reports periodically to Executive Members AND Overview & Scrutiny Committees.	Lead Member (Children's Services) Cllr Tony Hall - member.	N	Pete Dwyer (Chair)	Howard Emmett	L	M	H	H	H	M	10 August 2012 - No concerns noted. Police and Crime Commissioner may influence future function of the service and merits an early review.	Failure to plan for future funding reductions and agree reshaping of the service with key partners, results in inability to meet statutory requirements, ineffective use of resources and criticism of service level provision. Failure to appropriately assess and manage risks and follow appropriate procedures resulting in significant/serious harm to staff/young people/public, media attention, loss of partner credibility and possible claims, prosecution.	N	n/a
HAS																											
North Yorkshire Learning Disabilities Partnership Board	HAS	2	This is an important partnership, in view of the role of visible public engagement on these services.	The Board reviews the work it undertakes on a yearly basis. NYCC reviewed the work and role of the Board in 2011 and formed one NY Board.	Participating in the Health and Well Being Board arrangements. Conferences on Autism and Complex Needs and transitions. Established a travel and safe places group. Held a workshop on Winterbourne View and out of area placements. Held a Celebration day to acknowledge the work achieved to date. commissioned 4 co production workshops. Held several self advocacy events around the county to increase engagement.	continue to contribute to the Health and Well Being Board agenda. Continue to contribute to the development of a joint Autism Strategy. Maintain the Self Advocates forum. Commission safeguarding training for self advocates. Seek agreement for a North Yorkshire Safe places initiative. develop an easy read guide and on line learning.	N	Service users and carers, NYCC officers. Written governance document.	<a href="http://www.nypartnerships.org.uk/index.aspx?articleid=16810">www.nypartnerships.org.uk/index.aspx?articleid=16810</a>	HAS budget (Learning Disability Development Fund) approx. £160k	NYCC	£180,000 for 2012/3. £9,000 to each Local Area Group. £9k to Self Advocates Forum and the Carers Forum.	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	none	N	Joss Harbron	David Firth	L	M	M	M	M	L	n/a	Funding not available to facilitate the partnership. Criticism for NYCC for not engaging with vulnerable groups.	N	n/a
Supporting People Partnership	HAS	2, 3	Overseeing the commissioning of housing support services for vulnerable groups. This includes contract monitoring and quality assurance.	2010. Outcome was to continue with the current commissioning arrangements.	recommissioning home improvement and handyperson services with Districts. Achieving targets for MTFs savings. Transferring budget relating to learning disabilities.	Achieving 2020 savings requirement. Undertaking appropriate consultation. Planned programme of recommissioning involving other partners..	N	NYCC, District and Borough Councils, Probation Providers Representatives. Written governance document.		c£14.3 m. HAS budget.	NYCC	c£14.3 m	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	None	N	Avril Hunter	Ginny Harrison	L	M	H	M	M	M	2 May 2014 - Need to revise governance documents due to need to restructure. Legal Services are working with the Lead Officer to achieve this.	Partners withdraw and cease to work together. Reducing resources and difficult decisions regarding priorities.	N	n/a
Substance Misuse Partnership Board	HAS	2	Be an advisory and reference group on substance misuse. Inform the development of the alcohol strategy. Inform the development of drugs strategy. Gather intelligence to inform strategy development and implementation, and delivery of services. Strategically address transition from young people to adult substance misuse services.	01/02/2014. TOR agreed.	All drug and alcohol service contracts have been updated under NYCC. Re-established drug and alcohol related deaths confidential enquiry process under NYCC. Re-configuration of all drug and alcohol services (adults) underway. tender in progress. PQQ evaluation complete. SMPB membership and TOR revised. Alcohol Strategy engagement event completed.	Complete tender for new integrated, recovery focussed adult drug and alcohol service. Manage transition between outgoing and incoming providers. Implement new integrated recovery focussed service. Engage on draft Alcohol Strategy and develop implementation plan.	N	See TOR. Sub group of HWBB.	<a href="http://www.nypartnerships.org.uk/smpb">www.nypartnerships.org.uk/smpb</a>	c£5m Public Health budget within NYCC. Mental health budget within NYCC. OPCC contribution.	NYCC	c£5m	Reporting is via HASLT to HAS Exec as required. Cllr Don Mackenzie took Key Decision re substance misuse tender.	None	No	Lincoln Sergeant	Nick Morgan	M	M	M	M	M	M	February 2014 - New terms of reference agreed.	Current tender exercise cannot be completed in timescales	N	n/a
Physical and Sensory Impairment Partnership Board	HAS	2	This is an important partnership, in view of the role of visible public engagement on these services.	n/a	Implementing the priority areas of the Action Plan -Health, Transport, Housing, Choice and control, Discrimination and Harassment, Making a Positive Contribution and Economic Well Being, Personal Dignity. Developing how this board fits into the emerging Health and Well Being structures.	Continue to develop work with the four area Reference Groups based on the Equal Lives Action Plan objectives	N	Disabled people attend as elected representatives from the four area Reference Groups with attendance of statutory organisations as required. Written governance document.	<a href="http://www.nypartnerships.org.uk/index.aspx?articleid=16811">www.nypartnerships.org.uk/index.aspx?articleid=16811</a>	£35k is allocated from Performance Reward Grant through HAS to Reference Groups and PSI Board expenses. Officer support from HAS.	NYCC	£35k	Reporting is via HASMB to HAS Exec as required. Membership of Healthwatch Board. Confirmation awaited for new arrangements for 2014-15.	None	Accountability arrangements to be identified	Carol S Johnson	David Firth	L	M	L	L	L	L	n/a	Funding not available to facilitate the partnership. Criticism for NYCC for not engaging with vulnerable groups.	N	n/a
Safeguarding Adults Board	HAS	2 but will become statutory when the Care Bill becomes enacted.	To provide strategic leadership for Adult Safeguarding arrangements and to quality assure partner agencies safeguarding practice.	January 2014 - chair carried out evaluation of the Board. Governance arrangements changed from April 2014 to deliver our strategic plan more effectively, strengthen accountability and enhance the participation of partners.	Continued public awareness campaign. Engaged with new Health arrangements (CGGs), Healthwatch and Public Health. Refreshed training strategy to include Mental Capacity Act. Strengthened accountability for Mental Capacity Act Forum and to domestic abuse governance arrangements.	Increasing user influence on the board. Responding to major enquiries. Implement requirements of the Care Bill. Implement outcome based performance framework. Secure partnership funding for the Board. Promote cultural leadership.	N	NYCC, District Councils, Probation, ICG, NYFF, Police, Fire and Rescue, Health Trusts and CCGs, NHS England, LCSB, Director of Public Health, CQC. Written governance document.	<a href="http://www.nypartnerships.org.uk/index.aspx?articleid=17008">www.nypartnerships.org.uk/index.aspx?articleid=17008</a>	c£400k	NYCC	c £400,000. This includes Safeguarding team, support to the Board and multi-agency training .	6 monthly to Care and Independence OSC	Cllr Clare Wood - member	Securing effective engagement with GPs and CCGs. Relationship with HWB.	Richard Webb	Nick Morgan	L	H	H	M	H	M	2 May 2014 - Appropriate governance arrangements in place. These appear to be kept under regular review and were revised in 2012/13 to reflect NHS changes and to be able to work with new health partners. A performance framework has been devised and no action is required at this time.	Partners withdraw and cease to work together.	N	n/a

Market Development Board	HAS	2	Has operated as an effective leadership board on the issues regarding social care.	No formal review. Now chaired by AD Procurement, Partnerships and Quality Assurance.	Continued high level dialogue to achieve: new overarching contract, agreement on efficiencies. Continuing to raise profile of social care sector in economic development. Actual Cost of Care exercise undertaken.	Continued market development with respect to personalisation, health linkages, operating within financial framework.	N	NYCC, Independent Care Group (ICG), reps from the 3rd Sector, lead CCG. Written governance document.		No direct funding to Board.	NYCC	No funding direct to the Board.	No routine report to NYCC elected member body, any issues arising feeding in to County Council processes in the usual way.	None	N	Mike Webster	n/a	L	M	L	M	M	L	n/a	Partners withdraw and cease to work together. Legal challenge to local authority. Market not developed to meet future care needs.	N	n/a
Health and Wellbeing Board (HWB) and associated partnerships, including the Integrated Commissioning Board	HAS	1, 2, 3	To provide system leadership for health, social care and well being.	Review initiated at HWB in May 2014.	Establishing the HWB. Agreeing the Health and Wellbeing Strategy. Developing, agreeing and submitting the Better Care Fund. Developing other strategy and investment plans.	Delivery of Better Care Fund and other key strategies.	N	NYCC, Clinical Commissioning Groups, representative from district councils, NHS providers, Voluntary and Community Sector, Health Watch	<a href="http://www.nypartnerships.org.uk/index.aspx?articleid=16861">http://www.nypartnerships.org.uk/index.aspx?articleid=16861</a>	No funding of its own but provides strategic direction for spend eg BCF	NYCC	Officer time only	Executive Members are part of the Board.	Cllr Clare Wood - Chair, Cllr Tony Hall - member, Cllr Don McKenzie - member	Governance review initiated. Multi-organisational membership	Richard Webb	Paul Cresswell / Nick Morgan	L	M	M	M	M	L	n/a	Partners work together less effectively. Reducing resources and difficult decisions regarding priorities.	N	n/a